



*See for ref*

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/885,039	
	Filing Date	06/21/2001	
	First Named Inventor	Michael Chen	
	Group Art Unit		
Examiner Name			
Total Number of Pages in This Submission	6	Attorney Docket Number	AVIPO015 USA

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD. Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  1. A copy of notice to file missing parts of nonprovisional application. (1 page) 2. A copy of notice to submit the filing fee, surcharge and returned check charge. (3 pages)
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	WINSTON HSU	
Signature	<i>Winston Hsu</i>	
Date	9/19/2001	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	Signature	Date
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (09-00)

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 930

## Complete if Known

Application Number	09/885,039
Filing Date	06/21/2001
First Named Inventor	Michael Chen
Examiner Name	
Group Art Unit	
Attorney Docket No.	AVIPO015USA

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
 Deposit Account Number **50-0801**  
 Deposit Account Name **North America International Patent Office**  
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27
2.  Payment Enclosed:  
 Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	130
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for ex parte reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	390	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1,390	Extension for reply within fourth month	
128	1,890	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,240	Petition to revive - unintentional	
142	1,240	Utility issue fee (or reissue)	
143	440	Design issue fee	
144	600	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Stmt	
581	40	Recording each patent assignment per property (times number of properties)	40
146	710	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	Request for Continued Examination (RCE)	
169	900	Request for expedited examination of a design application	
Other fee (specify) <i>returned check charge</i>			
Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			

\*or number previously paid, if greater. For Reissues, see above

SUBTOTAL (3) (\$)

220

## SUBMITTED BY

Name (Print/Type)	WINSTON HSU	Registration No. (Attorney/Agent)	41,526	Telephone	886-2-8923-7350	
Signature	<i>Winston Hsu</i>				Date	3/19/2001

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/885,039	06/21/2001	Michael Chen	AVIP0015USA



WINSTON HSU  
5F, No. 389  
Fu-Ho Road  
Tung-Ho City, Taipei Hsien, 234  
TAIWAN

**CONFIRMATION NO. 3626**  
**FORMALITIES LETTER**



\*OC00000006444187\*

Date Mailed: 08/20/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSES SANDARA 00000005 09885839

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:617	50.00 OP



UNITED STATES  
PATENT AND  
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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

[www.uspto.gov](http://www.uspto.gov)

July 24, 2001

United World Chinese Commercial Bank  
Taipei, Taiwan  
Republic of China

Serial Number - 09/885039

The purpose of this communication is to inform you that your check number 217319029 dated, June 19, 2001 has been returned by the Bank. It was unpaid. The check was in the amount of \$750.00

The practice of the Office is to treat such returned checks as though the fee for which they were intended was never received. Therefore, the applicant is required to submit the following fee (s):

- \$750.00 - filing fee & claims
- \$130.00 - surcharge
- \$ 50.00 - returned check charge

Note: Any fee amount that is paid on or after October 1, 2000 is subject to the new fee schedule.

A shortened statutory period for response to the requirements of this notice is set to expire Two Months or Sixty days, whichever is longer, from the date of this notice.



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Failure to respond within this period will result in the application becoming abandoned. Under rule 35 USC 133.

THE FILING RECEIPT WILL NOT BE MAILED OUT UNTIL ALL FEES ARE PAID. IF THE FILING RECEIPT HAS ALREADY BEEN MAILED OUT, IT IS VOID IF ALL THE FEES ARE NOT PAID IN A TIMELY MANNER.

Further correspondence with respect to this matter should include a copy of this notice and be addressed to the following:

Commissioner of Patents and Trademarks  
Box 17  
Washington, DC 20231

Telephone Inquiries should be directed to the office of Initial Patent Examination  
709 (308-3642)

*Eleanor F. Kurtz*

Eleanor F. Kurtz  
Office of Initial Patent Examination

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DATE JUNE 19, 2001

09/08/039

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